Monday, -13 May, 1946

INTERNATIONAL MILITARY TRIBUNAL
FOR THE FAR EAST
Court House of the Tribunal
War Ministry Building
Tokyo, Japan

The Tribunal met, pursuant to adjournment, at 0935.

Appearances:

For the Tribunal, same as before.

For the Prosecution Section, same as before.

For the Defense Section, same as before.

(English to Japanese and Japanese to English interpretation was made by SHIMANOUCHI, Toshiro of statements from the floor, and English to Japanese interpretation was made by MORI, Tomio of statements by the President, Akira Itami acting as Monitor.)

MARSHAL OF THE COURT: The International Military Tribunal for the Far East is in session and is ready to hear any matter brought before it.

THE PRESIDENT: I have before me a request by counselors UZAWA and KIYOSE, representing the whole of the Japanese counsel, that the decision of this Court dealing with the question of challenge of its Members

be reconsidered. It is also requested that I should sit with the other Members in dealing with the question of interpretation so far as it bears on the challenge.

The Members of the Tribunal refuse to review their decision. The request is, therefore, refused.

DR. KIYOSE: Mr. President, the reason for this challenge has not yet been presented. I wish to be granted the opportunity to present the reasons.

(The interpreter was corrected by Dr. KIYOSE in English as follows: "Not challenge" but "a request to present the reasons for the request to reconsider the challenge.")

THE PRESIDENT: The decision was accepted without question when it was given. This is a belated request. The matter is closed.

The next matter on the paper is the motion going to the jurisdiction of the Tribunal because of the inclusion in the Indictment of "Crimes Against Peace" and "Crimes Against Humanity."

The notice of motion is not confined to grounds but extends to argument and has been supplemented by further argument. However, we propose to waive the irregularity and read it as being confined to grounds without regard to the argument which will have to be

repeated independently.

DR. KIYOSE: I should now like to be permitted to explain the motion with respect to the jurisdiction of this Tribunal.

The first point is that this Tribunal does not have the authority to try "Crimes Against Peace" and "Crimes Against Humanity." Needless to say, the Potsdam Declaration, advising surrender to Japan, issued on the 26th of July, 1945, states that "stern justice shall be meted out to all war criminals, including those who have visited cruelties upon our prisoners." This Declaration was acknowledged and signed when the Instrument of Surrender was signed in Tokyo Bay on the 2d of September, the same year.

The Potsdam Declaration not only binds our country but also binds the Allies. In other words, this Tribunal is empowered to make charges and try what are called "war criminals" in accordance with the tenth article of the Potsdam Declaration, but not so empowered to try those who cannot be considered as war criminals.

The Charter of this Tribunal stipulates
"Crimes Against Peace" and "Crimes Against Humanity."
However, if the Allies do not have the authority to try
these cases, neither does the Supreme Commander appointed
by the Allied Powers to represent the Allied Powers have

the power to consider such charges.

To grant authority or powers to others which one does not possess himself is, in the light of international law, unfounded. Hence, it is necessary, rationally and strictly, to circumscribe the limit of what are considered as war criminals as stated in the Declaration of Potsdam. In other words, we must limit the interpretation of what is meant by "war criminals" to that existing up to the 26th of July, 1945 - in other words, at the time when this Declaration of Potsdam was issued by the Allied Powers and at the time accepted by Japan. In other words, up to that time the meaning of "war crimes," as generally accepted by the nations of the world, was those crimes relative to the violation of the laws and rules of war -- rules and conventions of war.

(The interpreter was corrected by Dr. KIYOSE in English as follows: "Rules and customs.")

Laws and customs of war. To give some concrete examples, there are four typical crimes: One, the violations of belligerents; two, violations by non-belligerents; three, plunder, espionage; and another, war treason.

A Judge representing Britain is sitting in

this Tribunal. According to the British Manual of the Laws of War, Article 141 --

(The interpreter was corrected by Dr. KIYOSE in English as follows: "441.")

(Continuing) -- 441, a definition of "war crimes" is set forth. In the next Article, namely, Article 442, the various types of war crimes are given. These, in other words, are the four that I have just mentioned.

This meaning of "war crimes" is not confined only to the Manual on the Laws of "ar of Great Britain which I have just mentioned, but it is also likewise understood in other countries.

"Crimes Against Peace." And, speaking of this, whatever the nature of the war or character of the war, the planning, the preparing, the initiating and the waging of war cannot be considered as "Crimes Against Peace"in accordance with the conception of war held by the civilized nations of the world up to July, 1945.

I need not say that the Honorable Judges here, the learned Judges here, are learned on the books on international law and such well known books on international law as that of Oppenheim or Hall. There is no mention of planning a war as a war crime. Works

on international law widely known and well read in Japan, namely, that by Dr. Sakutaro TACHI and by Dr. Jumpei SHINOBU, mention war crimes but confine them to violations of the laws and customs of war.

Some of the books give five classifications; but, substantially, they are the same as that listed in the Manual on the Laws of War published in Britain.

On the occasion of the promulgation of the Charter of this Tribunal on the 19th of January, this year, a special order was issued by His Excellency, General of the Army, MacArthur, Supreme Commander of the Allied Powers, stating that the Supreme Commander from time to time declared that war criminals will be punished from time to time. That is mentioned in this special order, to the effect that it will be declared from time to time in our interpretation, means that they apply to Japan.

Declarations made against Germany or other
European Axis nations cannot be applied to Japan.
Thatever declarations are made, whether at the Moscow
or Yalta Conferences, against Germany cannot under any
circumstances be made applicable to Japan.

Mr. President, this is a very important point. There is a very great difference between the way in which Germany surrendered and Japan surrendered.

Germany, as you well know, Mr. President, resisted to the very last, Hitler died or wes killed, Goering departed from the ranks, and Germany ultimately collapsed. In the case of Germany, it was literally an unconditional surrender. In other words, as regards German war criminals, the Allies, if I may be permitted to say so, could just as well have punished war criminals without trial.

The forces of the Allied Powers had not yet landed in Japan when the Potsdam Declaration was proclaimed. In that Declaration, Article 5, it is mentioned that the "Following are our terms. We will not deviate from them."

(The interpreter was corrected by Dr. KIYOSE in English as follows: "We shall abide by these Articles.")

(Continuing) "Following are our terms. We will not deviate from them."

It is an absolute mistake to bring charges against Japanese war criminals -- that is, charges for "Crimes Against Peace" and "Crimes Against Humanity" -- because the same charges happen to be made at the trials at Nuernberg.

The Potsdam Declaration proposed to Japan contained conditions; to borrow the words from civil

law, it presented Japan an offer. In other words, there was a condition. It was this that was accepted, and it is this that the Allies must observe.

One of the war aims of the Allies in this war was respect for international law. If that is the case, it has been our strong belief that interpretation of the question of war crimes would under no circumstances go beyond the interpretations made by existing international law. The Japanese people have also so believed.

The Potsdam Declaration was accepted by the Cabinet at that time headed by Premier Kantaro SUZUKI. The question of punishing war criminals, or punishment of war criminals -- the Potsdam Declaration was accepted on the understanding that the punishment of war criminals will take place in accordance with the commonly accepted understanding of that term throughout the world. To go beyond that is overstepping the bounds of international law. We would like to know, therefore, why new crimes should be charged after the acceptance of that Declaration.

There are some people who argue that, if the Anti-War Pact of 1928 which condemns war as an instrument of national policy, is violated, that action be considered as an aggressive war. Such an argument is

an instrument of national policy but does not consider it a crime. As evidence, the Anti-War Pact just referred to was concluded in the year 1928 while the British Manual on the Laws of War referred to before was not written until the following year, 1929. From the fact that this British Manual on the Laws of War, written in 1929, confined "crimes" to those in violation of the laws and customs of war after the Anti-War Pact had been concluded, there is no ground for extending the Anti-War Pact to including such questions as war crimes.

I have read in news reports and books that
the Pan-American Conference in Havana resolved that
aggressive war should be considered as an international
war crime. However, that was merely a local agreement.
A local agreement, or treaty, or resolution binds only
those who participated in such local agreement; they do
not bind those who are outside of this area.

It is needless for me to say that such rules are universal and universally applicable only after those rules are agreed to and participated in by all the countries of the world, or after such rules have been established as an idea after many years of observance.

As already referred to, it is improper to

interpret international treaties and declarations on the basis of rules established prior to them.

THE MONITOR: Correction: It is not possible to interpret the international treaties or declarations purely on the basis of the international treaties or declarations proclaimed prior to the former treaties and declarations.

DR. KIYOSE: Whether international treaties or declarations, they may be interpreted in the light of the materials which existed prior to a conclusion of such treaties or issuance of such declarations but not in accordance with the rules of law made after the issuance of such declarations. Following the termination of the war, we made a study of documents and other data from Europe. On the 8th of August, 1945, at the Conference on War Crimes convened in London, a resolution was adopted to amplify or extend the significance of war crimes.

MONITOR: Correction: "Decision was reached" instead of "resolution was adopted."

DR. KIYOSE: In other words, this became the Charter of the Nuernberg Tribunal. That was on the 8th of August. We, however, are speaking of the declaration issued on the 26th of July, prior to that. To interpret the declaration of July 26th, the resolu-

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tion made on August 8th is highly inconsistent and is a matter which should be avoided by learned international jurists. Indeed, I do not know whether there is in the world today a problem more significant, or more important, or bigger than the problem of the jurisdiction of this Tribunal.

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The Declaration of Potsdam, may I repeat, says that "stern justice shall be meted out to all war criminals," but we in Japan at no time have ever imagined that the interpretation of the war crimes criminals mentioned in that Declaration should extend to "Crimes Against Peace" and make charges against important statesmen in our political life, diplomats, and other leaders in the public life of Japan. I presume that there shall be rebuttals from the prosecution. However, it is my request that this point be considered calmly, without prejudice, and, as the Honorable Chief Judge said at the opening of this Tribunal, that this matter, like all others, will be considered without fear, without favor, and that a rational and calm historical judgment will be granted by this Tribunal on this question. The Indictment served on the defendants on the 29th and 30th of April indicate, as wer crimes, falling under the classification of "Crimes Against Peace," those who have participated in common

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1 plans, or who have formulated common plans or carried
 2 out such plans. In other words, I am referring to counts
  11 to 26 in the Indictment. I ask that these counts be
   removed from the charges as being outside of the authority
   of this Court. The same Indictment and classification,
   "Crimes Against Humanity," mentioned the abuse of
  narcotic drugs as in violation of the protocol on this
   subject. In other words, these fell under counts 53 to
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   55 in the said Indictment as well as Appendix B.
   Indictment also charges, simply as "Murder," the destruc-
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   tion of lives, which took place at the time of the out-
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   break of the war as well as when war or battles were
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   actually in progress.
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             MONITOR: The destruction of lives of soldiers
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   and noncombatants.
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             DR. KIYOSE (Continuing): In other words,
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   these fall under counts 37 to 52 in the Indictment.
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   is also asked, for the reasons already mentioned, that
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   these counts also be removed from the Indictment.
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             MONITOR: "ithout necessitating the present-
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   ation of evidence.
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             DR. KIYOSE (Continuing): The point I have
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   just mentioned is the first point objecting to this
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   Tribunal on the question of jurisdiction.
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             Next, I should like to explain, briefly, the
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Declaration of July 26th, accepted by Japan, was a declaration issued and accepted for the purpose of bringing to an end the war then existing between the Allies and Japan, a war which in Japan was called "The War of Greater East Asia." War crimes must, therefore, be confined to the war then existing between Japan and the Allies, what we call "The War of Greater East Asia" and what the Allies have called "The Pacific War." It is absolutely unthinkable that incidents which are totally unrelated to the War of Greater East Asia, or the Pacific War, or incidents which have been already settled in the past, should be brought within the purview of this trial.

One fact which we are hard put to understand is the inclusion of the charge relative to Liaoning, Kirin, Heilungkiang, and Jehol in the Indictment.

The Manchurian Incident is called an undeclared war. But, as a result of this Incident, the State of Manchukuo was established and duly recognized by a number of countries. The Honorable Judge representing the Soviet Union is sitting in this Tribunal. The Union of Soviet Socialist Republics has recognized Manchukuo. The Chinese Eastern Railway was bought from the Soviet Union by the State of Manchukuo. In other words, unless one

country recognizes the other, such a negotiation cannot be considered. Therefore, it is our understanding that the Soviet Union at that time had recognized the existence of the State of Manchukuo.

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The incidents relative to Liaoning, Kirin, Heilungkiang, and Jehol are incidents of the past which belong to history and, therefore, are outside of the purview of this trial. However, count 2 of the Indictment has gone back to these past incidents and has been used as charges against the defendants. "That surprises us, to a very great extent, are the incidents at Lake Khasan ' and the incidents of the Kholhin Gol River between Japan and the Soviet Union. The first incident, namely, the Lake Khasan Incident, is referred to in our country as the Chankufeng Incident; the second is the Nomonhan Incident. These incidents are included in the charges made in the Indictment. The Honorable Judge from the Soviet Union being here, it is hardly necessary to present any evidence; but the Lake Khasan Incident or the Chankufeng Incident was settled through agreement which was concluded in August, 1938.

MR. KEENAN: Just a moment. I wish to be heard for the purpose of an objection at this time.

Mr. President, I, as chief of the prosecution, rise for the purpose of interposing a basic objection to

this type of argument as is now being pursued for a reason which I should like to be given permission to succinctly state. May I be heard for a moment?

THE PRESIDENT: Briefly, yes.

MR. KEENAN: Briefly, my point is that the prosecution objects to the discussion at this time of any matters other than those of law and facts, as they now appear before the Court, either in proof as provided by the rules, or such of which the Court would take judicial notice. I suggest, with great respect, Mr. President, to this Court, that it is obvious that this defense counsel is now proceeding to discuss matters of law concerned with facts which are not before this Court properly at this time by any consideration, either of taking judicial notice or by any evidence preceding these remarks and, therefore, with great respect and with deference to counsel, that this presentation is now premature for such reason. Therefore, I ask the Court to direct Counsel to concern his arguments only with law, or only with such matters as are in evidence at the present time, or of which the Court has stated it would take judicial notice.

THE PRESIDENT: Counsel must remember that he is dealing with a question of jurisdiction that is not necessarily purely a question of law; but, so far as it

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is based on facts, it must be on uncontested facts at this time.

DR. KIYOSE: I understand. I am not attempting here, Mr. President, to try to produce evidence; I
am speaking only of facts. I beg the Court to listen to
the argument as a whole.

I have just spoken of the incident at Lake
Khasan for which settlement was reached. The second
incident, namely, the incident at the Kholhin Gol River,
was also brought to a settlement by an agreement arrived
at between Japan and the Soviet Union in September, 1939.

MR. KEENAN: Just a moment.

DR. KIYOSE (Continuing): Since then, a neutrality pact was concluded between Japan and the Soviet Union on July 26th. When the Declaration was issued, Japan and the United States were in a state of war, but Japan and the Soviet Union were not in a state of war.

MR. KEENAN: Mr. President, the representative of the Soviet Union denies the statement of fact, as made by the learned counsel for the defense, with reference to agreements concerning Lake Khasan, and we think that should be reasonably brought to this Court's attention.

THE PRESIDENT: Subject to what my colleagues think, I do not think that is a matter of which we can

take judicial notice.

MR. KEENAN: Since, Mr. President, the facts are not uncontested with reference to this incident, and since, Mr. President, the ruling has been made that such comment is improper, I ask that those remarks concerning Lake Khasan be stricken from this record.

THE PRESIDENT: The remarks relating to that matter will be stricken from the record.

DR. KIYOSE: Mr. President, I ask that opportunity be given this counsel to produce evidence at the next stage of this trial.

THE PRESIDENT: I understand that already.

We are taking evidence on the 3d of June, and thereafter,

and points of Jurisdiction might well be based on the

evidence taken later.

DR. KIYOSE: Then, continuing my presentation,
I should like to proceed to point 3 with which I should
like to deal as simply as possible.

As I have pointed out before, the jurisdiction of this Tribunal is limited to the war which was brought to a close between Japan and the allies in accordance with the Declaration of 26 July, 1945.

I should like to make a correction before I proceed to the third point which I have just started. I should like to go back to my second point, and beg the

1 Court to strike out from the Indictment counts 25, 26, 2 35, 36, 51 and 52. I shall now return to point 3.

THE PRESIDENT: That is a formal application that he overlooked.

DR. KIYOSE: That is mentioned in the formal application filed with this Court.

At that time there was no state of war existing between our country and Thailand, otherwise known as Siam. As a matter of fact, Thailand and Japan were allies. Under no circumstances could we ever imagine that any war crimes were committed against this country, this allied country of Thailand. Presuming, for the sake of argument, that as a result of some differences a state of war existed between Japan and Thailand, Thailand was not or is not an Allied country. For that reason it is not within the jurisdiction of this Court to try what are called "war crimes" committed against Thailand.

The surprising point is that counts 4, 16, 24, and 34 in the Indictment state that Japan had committed war crimes against Thailand, and by those defendants here who, at that time, were in Tokyo. These counts just referred to are outside of the authority of this Tribunal. Therefore, I ask that, without taking evidence, these counts be removed from the Indictment. It is the sincere request of this counsel that, after careful and due

1 deliberation of the three points which I have just set 2 forth, the Tribunal take just and fair action, and, 3 before proceeding with the trial, that these points 4 be considered seriously. 5 THE PRESIDENT: The Court will now recess 6 for ten minutes. 7 (Whereupon, at 1107, a recess 8 was taken until 1125 after which the pro-4 ceedings were resumed as follows, English 10 to Japanese and Japanese to English inter-11 pretations being made by OKA, Takashi of 12 statements from the floor, English to Japan-13 ese interpretation being made by TSUCHIYA, 14 Jun of statements by the President, and Hidekazu Hayashi acting as Monitor:) 15 MARSHAL OF THE COURT: The Tribunal is now 16 17 resumed. 18

CAPTAIN FURNESS: The American counsel for the defense now present the supplemental motion to the jurisdiction.

THE PRESIDENT: Well, I thought we would take the arguments on each motion separately.

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CAPTAIN FURNESS: Very good, sir.

THE PRESIDENT: If Dr. KIYOSE has completed his argument, we will now hear the Chief Prosecutor.

MR. KEENAN: Mr. President, Members of this International Military Tribunal, can it be that eleven nations represented on this Tribunal and in this prosecution, and in themselves representative of orderly governments, of countries containing one-half to twothirds of the inhabitants of this earth, having suffered through this aggression the loss of a vast amount of their resources and deplorable and incalculable quantities of blood due to the crimes of murder, brigandage and plunder, are now totally impotent to bring to trial and punish those responsible for this world-wide calamity; that these Allied Nations, having brought about, as they were compelled to so do by sheer force, the end of these wars of aggression, must now stand idly by and permit the perpetrators of these offenses to remain without the reach of any lawful punishment whatsoever? THE PRESIDENT: Mr. Chief Prosecutor, do you think those rhetorical phrases are fitting at this

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juncture?

MR. KEENAN: Mr. President, in answer to that remark from the President of this Tribunal, I regard the motions as being addressed to the body public of the world, as they are being published, and I do not desire and had not desired to let them go entirely

unchallenged and am ready now, after this statement of our proposition of the soundness of the prosecution, to proceed to the analysis of the points raised.

The motion of the accused attempts to restrict the jurisdiction of this Court by accused's construction of the language set forth in the Potsdam Declaration, that "stern justice shall be meted out to all war criminals, including those who have visited cruelties upon our prisoners." In the motion there are other assertions or implications that the surrender of Japan was subject to certain conditions in this respect. With this latter contention we have no concern in the consideration of this motion, perhaps, purely as a matter of law. But, I do not intend, in this Tribunal, to permit that false assertion to remain unchallenged, and I wish to state, as the position of this prosecution, that the surrender of the Japanese nation was utterly and entirely without conditions and that recourse to the Instrument of Surrender and the documents leading thereto will so demonstrate.

Examination of the two Japanese communications already identified in this case, transmitted to the various Allied governments through the Swiss Government at the time of the surrender, will show that the surrender of the Japanese Government was

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without condition.

An attempt is also made to limit the authority of this Court through a construction of the Proclamation which was issued by the Supreme Commander for the Allied Powers when the Charter establishing the Court was promulgated. These observations made by the accused in this motion likewise are shown to be erroneous, since the very first paragraph of the said Proclamation states:

allied therewith in opposing the illegal wars of aggression of the Axis Nations, have from time to time made declarations of their intentions that war criminals should be brought to justice."

The accused impugn the Charter and, in effect, declare it to be <u>ultra vires</u> -- beyond the power of the Supreme Allied Commander to proclaim.

That is in respect to the matters referred to in their motion.

But, both the Special Proclamation hereinbefore referred to and the Instrument of Surrender show with abundant clarity that the Supreme Commander for the Allied Powers "is authorized to take such steps as he deems proper to effectuate the terms of surrender."

There are other terms of the Proclamation 1 showing the falsity of the concept set forth in the 2 motion of the accused which we deem it now not neces-

sary to indicate to this Tribunal. 4

> Reference has been made, as the real basis of this motion, to the construction to be given to the term "war criminals" with reference to the language of the Potsdam Declaration. Paragraph 6 is respectfully called to this Court's attention.

"There must be eliminated for all time the authority and influence of those who have deceived and misled the people of Japan into embarking on world conquest, for we insist that a new order of peace, security and justice will be impossible until irresponsible militarism is driven from the world."

Paragraph 13 of the Potsdam Declaration reads as follows:

"We call upon the government of Japan to proclaim now the unconditional surrender of" the Japanese people--"

DR. KIYOSE: What people?

MR. KEENAN: Correction: "of all Japanese armed forces, and to provide proper and adequate assurances of their good faith in such action. The alternative for Japan is prompt and utter destruction."

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Paragraph 2 of the Instrument of Surrender states:

"We hereby proclaim the unconditional surrender to the Allied Powers of the Japanese Imperial General Headquarters and of all Japanese armed forces and all armed forces under Japanese control wherever situated."

The third paragraph of this Instrument reads:

"We hereby command all Japanese forces

wherever situated and the Japanese people to cease
hostilities forthwith, * * * and to comply with all
requirements which may be imposed by the Supreme Commander for the Allied Powers or by agencies of the
Japanese Government at his direction."

The fifth paragraph of the Instrument provides:

"We hereby command all civil, military and naval officials to obey and enforce all proclamations, orders and directives deemed by the Supreme Commander for the Allied Powers to be proper to effectuate this surrender. * * *."

I respectfully call the Tribunal's attention to the fact that the Charter contested in this motion is one of these orders, originally General Order No. 1 and now, I believe, General Order No. 20. It is

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unnecessary to add that the Charter defines the crimes which accused deny now to exist as such. Indeed, it might be agreed that there was in this respect a vast difference between the capitulation of Japan and of Germany and that all of these things were agreed to by the duly constituted Government of the Japanese nation, including a great number of the accused themselves.

The sixth paragraph of the Instrument of Surrender states:

Japanese Government and their successors to carry out the provisions of the Potsdam Declaration in good faith, and to issue whatever orders and take whatever action may be required by the Supreme Commander for the Allied Powers or by any other designated representative of the Allied Powers for the purpose of giving effect to that Declaration."

The last paragraph of the Instrument of Surrender states:

"The authority of the Emperor and the Japanese Government to rule the state shall be subject to the Supreme Commander for the Allied Powers who will take such steps as he deems proper to effectuate these terms of surrender."

It is important then, in any proceeding relating to the interpretation of the Terms of Surrender, and such has already been injected into this case by this motion, emphatically to reject any false claim that this surrender instrument was conditional. I hope it will not be offensive to any Member of this Tribunal to give the concept of the chief of the prosecution as to the basis of this motion, the heart of it, in language which may be descriptive but which relates to important facts that shook the foundation of the world and may well cause its utter destruction unless the proceedings in behalf of the preservation of peace are brought forward to successful completion.

The precise legal proposition presented to this Court constitutes -- and I say, "the precise legal proposition" presented to this Court presents a clear challenge to the capacity of civilized nations to take effective preventive steps to save civilization by punishing the responsible individuals who brought about the scourge of aggressive warfare over a great part of the earth. It amounts to a claim that treaties, obligations and assurances solemnly entered into by a nation, through its duly constituted authorities, have no real significance. The bold proposition

is presented by the proponents of this motion that individuals proved to have set in motion and directed forces bringing about ruthless and unjustified wars threatening the existence of civilization are, by reason of high official positions of responsibility which they held, immune from any punishment for such acts.

This is tantamount to a claim that a person, or group of persons acting in concert, may scatter gasoline and gunpowder throughout a building filled with human beings, stuff the closets with oil-soaked rags, pile tinder against the doors, nail the windows shut so that the occupants cannot escape, and then, having handed a torch already lighted by them to irresponsible and helpless individuals under their domination and control, can order it to be applied, all with impunity.

This may be an analogy not appealing to those steeped in the mustiness of legal sterilisms referred to sometime back; but this analogy will be understood, Mr. President, by those who bear the real suffering when war comes upon mankind and who have a right to be heard in some attempt to prevent its repetition, for the proponents of these motions claim in the analogy suggested that these leaders, directors

and officials, having obtained the power to bring this ruin about -- having planned, prepared and initiated it -- can never be brought to the bar of justice.

The necessary corollary follows that the helpless dupes and victims who were subject to their control and orders and the orders of these leaders, as well as the millions of other innocent individuals, may undergo untold suffering for these acts while these leaders remain free from punishment.

THE PRESIDENT: We will now recess until thirty minutes after one o'clock.

(Whereupon, at 1201, an adjournment was taken until 1330, after which the proceedings were resumed as follows:)

AFTERNOON SESSION

2 The Tribunal met, pursuant to recess, at 3 1330. 4

> MARSHAL OF THE COURT: The Tribunal is now resumed.

> > THE PRESIDENT: Mr. Chief Prosecutor.

MR. KEENAN: Before recess, we were discussing the necessary corollary follows that the helpless dupes and victims who were subject to the control and orders of these leaders, as well as millions of other innocent individuals, may undergo untold suffering for these acts while these leaders remain free from punishment. And this is said to be the law. Such a contention is as revolting as it is unsound.

And the broader point is raised by the accused's motion, whether mankind will place itself in a straightjacket of legal precepts (which are without foundation or logic) by bowing to the force of such worm-wood legalisms, and leave these responsible criminals unpunished and at large? And is it supposed that in the meantime organized society must remain supinely quiescent, with the soft folded hands of indifference, and await its own destruction in a very literal sense?

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It is tantamount to the assertion that mankind is without lawful power to save itself.

The motion sets forth the very narrow legal contention that "according to the general conception prevailing in July, 1945 "war criminals" meant those who violated rules and customs of war after the commencement of war and to be punished according to the previous international law and customs." This proposition is said to be sustained by international law, and by what these Japanese accused had a fair right to understand was the meaning of the term "war criminals" as employed as late as 26 July, 1945.

we would point out to the Court that the accused conveniently omit some very important and relevant and, we contend determinative, statements and declarations addressed to this very subject. We shall proceed to outline some of them.

In 1919 the signatories to the Treaty of Versailles, including Japan, made provision for the trial of William II "for a supreme offense against international morality and the sanctity of treaty."

In 1920 the members of the League of Nations, including Japan, agreed that a war entered upon in violation of the Covenant providing for peaceful settlement should be regarded as an act of war against all of the members of the League. A war in violation of the Covenant thus became an illegal war, and any acts of violence accompanying it should be described as crimes against the international community.

The Geneva Protocol for the Pacific Settlement of International Disputes, signed by the representatives of forty-eight nations, specifically provided: "A war of aggression constitutes an international crime." This was followed in the Eighth Assembly of the League of Nations in 1927 by a unanimous resolution in almost the same language. Japan was a signatory of both of these instruments.

The Sixth Pan-American Conference of 1928 adopted a resolution on aggression, the preamble of which specifically states "that war of aggression constitutes an international crime against the human species."

Now, accused counsel seem to contend that these proceedings of the Pan-American Conference had no force or effect upon this Tribunal or upon precedent, but with great respect we urge that they clearly set forth, as early as that date, in an important part of the hemisphere the assertion that aggressive warfare constituted crimes was well established, and the world was given notice of such view and opinion; and it is interesting to note

that as early as 1907 in the Hague Convention, entitled "Convention Respecting the Laws and Customs of War on Land," we find the following paragraph:

been issued, the high contracting parties deem it expedient to declare that in cases not included in the regulations adopted by them, the inhabitants and the belligerents remain under the protection and rule of the principle of the law of nations" -- and I would emphasize this with great respect to this Tribunal and the learned counsel for the accused. Continuing the quotation: "* * * that until a more complete code of laws of war has been issued, the inhabitants and belligerents remain under the protection and rules of the principles of the law of nations as they result from the usages established among civilized peoples, and from the laws of humanity and the dictates of the public conscience.

And it is further interesting to note that this pronouncement and agreement was subscribed to in the name of and on behalf of the Emperor of Japan and the Japanese nation.

By the very important Kellogg-Briand Pact, signed in Paris August 27, 1928, the Contracting Parties (that is, practically the whole community of the civilized world, including Japan), after solemnly declaring "in the

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name of their respective peoples" that they condemned recourse to war for the solution of international controversies, renounced war as an instrument of national policy in
their relations with one another. Although the text of
this Pact does not use the word "crime," it is clear that
the Contracting Parties, by the fact of renouncing war "as
an instrument of national policy," meant to put the system
of aggressive warfare outside the law, that is, to make it
the classification of law breakers or even criminals, as
the case may be.

It is evident, then, that by 1928 all the civilized 14 rations in the world, by solemn commitments and agreements, 15 recognized and pronounced wars of aggression to be inter-16 rational crimes, and thus established the illegality of 17 war as a positive rule of international law. To this existing obligation not to wage an illegal war in violation of 192 positive rule of international law, there was a super-20 imposed contractual obligation not to wage war in violation 21 of specific treaties. We hope that neither in points 22 esserted in support of this motion nor any other time dur-23 ing this proceeding there will be the claim made by anyone 24 that treaties have no significance.

"hat is the meaning of the term "war criminals"

as understood at the time of the Potsdam Declaration and 2 at the time of this surrender? On November 1, 1943 there was issued at Moscow 3 an historic declaration by President Roosevelt, Prime 5 Minister Churchill and Marshal Stalin on behalf of their respective governments, wherein a clear-cut line of distinction was drawn between war criminals, charged with having been responsible for or having taken part in atrocities, massacres and the executive of prisoners of 10 war or civilian populations, and what were termed for con-11 venience major war criminals, "whose offenses have no 12 particular geographical location and who will be punished 13 by the joint decision of the Government of the Allies." On November 6, 1942, at a meeting of the Moscow 14 15 Soviet on the 25th anniversary of the Revolution, Marshal 16 Stalin announced that one of the objectives of the war 17 was "to destroy the hated New Order in Europe and to 18 punish those who established it." 19 A year later on the next anniversary, the same 20 authority publicly reiterated the intention to punish all 21 war criminals, including those responsible for the war. 22 At that time Stalin told the Russian people and the world: 23 Together with our allies we shall take measures that all 24 the Fascist criminals responsible for the present war and 25 the sufferings of peoples in whatever country they may hide themselves will get severe punishment and retribution for all their crimes."

I hope, Mr. President, that I may be permitted to make some reference to these accused in strong language since the references come from the lips of the late President Roosevelt, who contributed so much towards the termination of this human slaughter of aggressive warfare and who gave his life in such effort and who insisted, in effect, that men of the type of these very accused be brought before a tribunal of this nature; that they should be sternly punished for their offenses.

I shall proceed, with the Court's permission, with a few quotations from him.

THE PRESIDENT: How far will those quotations help us to determine what is purely a question of jurisdiction?

MR. KEENAN: They will help us, if the Court please, since one of the points raised in this motion is that these accused and the Japanese people had a right to believe that under the terms of the surrender they were not to be brought before a tribunal of this nature to answer for the crimes of aggressive warfare.

I propose to show by his statements that went all over the world--and doubtless, Mr. President, you may have heard them--that direct warning was given and direct

notice, so that any claim that the Japanese people were deceived or these defendants, or that there was not a clear understanding of what the purpose was, is not tenable, and it is a legitimate part of the prosecution to refuse that false position. THE PRESIDENT: How far will these statements by President Roosevelt and Marshal Stalin relate to the 8 Fotsdam Declaration, or any other document like that, that y we may consider? MR. KEENAN: I know of no better way to answer 10 11 you, Mr. President, than to quote to you from the Procla-12 mation which created this Court upon which you sit. And I 13 shall now, with your permission, read it. "WHEREAS, the United States and the Nations 14 15 allied therewith in opposing the illegal wars of aggression 16 of the Axis Nations, have from time to time made declarations of their intentions that war criminals should be brought 17 18 to justice." So that, if there is no further objection, I 19 would like to advert briefly to these statements to which 20 the Supreme Allied Commander, representing the Powers in 21 creating this Court, himself referred at the time of the 22 Proclamation establishing this Court and at the time you 23

were appointed as President by him.

May I proceed?

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THE PRESIDENT: We trust you to avoid anything in the nature of inflammatory statements.

MR. KEENAN: I will choose the language of President Roosevelt as he chose to use it over a world-wide radio. And I will trust myself to be within the confines of decency and good conduct in following his example.

THE PRESIDENT: Your duty is to argue on the question of jurisdiction and not to attempt to prejudice us. My duty is to protect this Court.

MR. KEENAN: I would think there would be no difficulty in the Court being protected from any attempt to prejudice it.

I would like to proceed to read relevant comments referred to in the Proclamation, if the Court will permit me.

If the Court please, there is so much the prosecution depends upon in bringing notice of these statements in answering this motion that I should like to have a ruling from the Court as to whether or not I may proceed to advert briefly to these remarks of President Roosevelt.

THE PRESIDENT: I am endeavoring to ascertain the opinion of the Court.

The Court thinks you should be allowed to quote

those remarks of President Roosevelt.

MR. KEENAN: On February 12, 1943, President Roosevelt in his important address on the birthday of the Great Emancipator, Lincoln, clearly enunciated that: "To these penicky attempts to escape the consequences of their crimes we say -- all the United Nations say -- that the only terms on which we shall deal with an Axis government or any Axis factions are the terms proclaimed at Casablanca: 'Unconditional Surrender.' In our uncompromising policy we mean no harm to the common people of the Axis nations. But we do mean to impose punishment and retribution in full upon their guilty and barbaric leaders."

And as far back as October 12, 1942, President Roosevelt, in a radio broadcast to the American nation which was heard all over the world, emphatically declared that "We have made it entirely clear that the United Nations seek no mass reprisals against the populations of Germany or Italy or Japan. But the ringleaders and their brutal henchmen must be named, and apprehended, and tried in accordance with the judicial processes of criminal law."

And I take it for granted that one would have little patience with the denial that these accused, or any of them, would have any difficulty in ascertaining the meaning of the words "ringleaders and brutal henchmen."

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I The date of that, if it please the Court, was almost three
 2 years before either Potsdam or the date of the signing of
 3 the Instrument of Surrender.
             In the Cairo Conference -- the language to which
 5 I will advert briefly, and I am sure there will be no ob-
 E jection to that because the Court designated it as one of
 7 the instruments to be identified -- the following is found:
 8 December, 1943, the United States of America through
 9 President Roosevelt, the Republic of China through Gener-
10 alissimo Chiang Kai-Shek, and the United Kingdom through
11 jts Prime Minister Churchill, declared: "The several mil-
12 itary missions have agreed upon future military operations
13 against Japan. The three Great Allies expressed their
14 resolve to bring unrelenting pressure against their
15 brutal enemies by sea, land, and air. This pressure is
16 already rising * * *. The three Great Allies are fight-
17 jing this war to restrain and punish the aggression of Japan."
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            What is the fair meaning of this stern warning,
19 "to restrain and punish the aggression of Japan"? Do the
20 accused contend that such punishment should be related only
21 to those helpless and unfortunate among the Japanese people
22 who had no partin bringing about these wars of aggression,
23 and who, as we believe, were themselves dupes and victims
24 of these very accused; to those Japanese whose lives were
25 sacrificed in figures running into the millions, and whose
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1 cities and harbors were smashed in a manner never before 2 known in history; to those who are now left the bitter and difficult road to rehabilitation?

Did the Allied leaders refer to these people in this stern warning? Did our leaders intend that a benevolent and kindly immunity was to be extended to the plotters, the planners and the dictators of this world holocaust? This is a queer sort of reasoning -- one that we believe would be difficult not alone to impress upon this Court, but upon the peoples of all nations, including those of Japan.

But if there is any ambiguity upon the point, it seems to me it is clearly resolved by the paragraph that I am now about to read from the Potsdam Declaration, wherein the intentions of the Powers were set forth, proclaimed by heads of the Governments of the United States, the United Kingdom, and China, and later adopted by the Union of Soviet Socialist Republics. I refer now to paragraph 10, from which I will quote:

"We do not intend that the Japanese shall be enslaved as a race or destroyed as a nation, but stern justice shall be meted out to all war criminals, including those who have visited cruelties upon our prisoners." This together with paragraph 8 of the said Declaration, providing: "The terms of the Cairo Declaration shall be carried out," incorporating, of course, that part of the Cairo Declaration

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which stated: "The three Great Allies are fighting this war to restrain and punish the aggression of Japan," shows clearly that all of these accused were put upon notice, as was the entire Japanese nation, of the exact purposes of the Allies, and emphasizes that stern justice would be meted out to those guilty of planning, initiating and waging these aggressive wars -- the same stern justice that is awarded to common felons.

May I ask the Court now to hear from my distinguished associate, Mr. Comyns Carr, representative of the United Kingdom, who will address the Court on a different aspect of this same subject matter for the prosecution?

THE PRESIDENT: "e will hear Mr. Comyns Carr.

MR. COMYNS CARR: May it please the Tribunal, I have written out the major part of my argument, and it has been translated into Japanese and a copy provided for the interpreters. Therefore, subject to the approval of the Tribunal and with their concurrence, if I may say so, I propose to read it in English as a whole and then let the interpretation be read as a whole. After that, there are one or two observations arising out of the argument presented this morning which I should like to add, with the permission of the Tribunal, have not been translated in advance and will have to be translated in the ordinary way.

THE PRESIDENT: That will be permitted.

MR. COMYNS CARR: This motion does not purport to attack the whole jurisdiction of the Tribunal, but is in effect an attempt to strike out certain counts of the Indictment and an attack upon certain parts of the Charter. It is based entirely upon an attempt to construe in a narrow way certain phrases in the Potsdam Declaration and the Instrument of Surrender. It can quite easily be disposed of on this basis, but we desire to point out two objections to this method of approach.

The first is that as appears from the opening paragraph of the special Proclamation establishing this Tribunal, the right of the Allied Nations to bring war criminals to justice is not based solely upon the assent of the Japanese Government by the Instrument of Surrender to the terms of the Potsdam Declaration and other documents incorporated therewith. On the contrary, any nation or group of nations has an inherent right to bring war criminals to justice whenever and wherever they have the opportunity to do so, unless they have by treaty debarred themselves from that This principle has been many times laid down right. and is well summarized in the following passage from Stowell's "International Law," published in 1931, at pages 597 to 8:

"The states assembled in a general conclave possess all the powers of international law, just as formerly the assembly of the tribe had plenary powers of legislation, judication, and administration. ally and normally the punishment of the individual would, as has been said, be left to the state of the offender, and in the event of its delinquency or failure to apply the law, a state acting vicariously would then apply the same penal provisions. In extraordinary cases, however, when it is necessary to safeguard international society from the disgrace and the dangers of unpunished crimes against the peace of nations, the states in conference may post hoc (after the act) define the offence, organize the judicature, and enforce submission to the judgment. But in such a proceeding it is always to be remembered that international law guarantees to every individual a minimum of security, and requires that he be not tried, con-

The second objection is that although the Potsdam Declaration laid down certain terms in the form of statements as to the intentions of the Allies, it ended in paragraph 13 by demanding the unconditional surrender of all Japanese armed forces.

victed, and punished without enjoying the due process

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of law."

An attempt by the Japanese Government to introduce a condition in the communication forwarded by the Swiss Charges d'Affaires on August 10, 1945, was promptly rejected on August 11 and in the Instrument of Surrender itself the Japanese Government in terms proclaimed unconditional surrender. The statements of intention in the Potsdam Declaration and other documents are being and will be fully carried out, but they cannot in our submission give any rights to these defendants or enable them to found any attack upon the Charter.

Coming now to deal with the first point in the motion, on the basis of the Potsdam Declaration, it appears that the motion is founded upon an attempt to give to the words "War Criminals" in paragraph 10, a narrow meaning restricting it to what are described in the Charter in Article 5(b) as "Conventional War Crimes." It is obvious, however, that paragraph 10 of the Potsdam Declaration does not purport to contain a full definition of "War Criminals," but leaves that, as it leaves many other matters, to be amplified by subsequent orders of the Supreme Commander acting on behalf of the Allied Powers. This is made clear in the third paragraph of the letter of August 11, 1945: "from the moment of surrender the authority of the

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Emperor and the Japanese Government to rule the state shall be subject to the Supreme Commander of the Allied Powers, who will take such steps as he deems proper to effectuate the surrender terms." This sentence is repeated verbatim in the last paragraph of the Instrument of Surrender itself. Nevertheless Paragraph 10 of the Potsdam Declaration, when the appropriate words are read in full: "stern justice shall be meted out to all war criminals including those who have visited cruelties upon our prisoners," makes it clear that crimes other than those described as "Conventional War Crimes" are included.

The motion alleges that "according to the general conception prevailing in July, 1945, 'war criminals' meant those who violated rules and customs of war after the commencement of war and to be punishable according to the previous international laws and customs." There is no warrant whatever for this statement or implication that the expression "war criminals" as confined to this particular class. If it was not clear before, the Treaty of Versailles by Article 227 made it plain. It reads as follows:

"The Allied and Associated Powers publicly arraign William II of Hohenzollern, formerly German Emperor, for a supreme offence against international

morality and the sanctity of treaties.

"A special tribunal will be constituted to try the accused, thereby assuring him the guarantees essential to the right of defence. It will be composed of five judges, one appointed by each of the following Powers: namely, the United States of America, Great Britain, France, Italy and Japan.

"In its decision the tribunal will be guided by the highest motives of international policy, with a view to vindicating the solemn obligation of international undertakings and the validity of international morality. It will be its duty to fix the punishment which it considers should be imposed.

"The Allied and Associated Powers will address a request to the Government of the Netherlands for the surrender to them of the ex-Emperor in order that he may be put on trial."

This treaty was signed by twenty-eight
States including Japan as one of the principal victorious Powers in the first World War, now one of the defeated, Italy another, Germany one of the nations then
and now defeated and the following Powers then and now
victorious and mentioned in this Indictment: The
United States of America (representing then also the
Commonwealth of the Philippines now separately

represented), the British Empire (including the Commonwealth of Australia, Canada, New Zealand and India now separately represented), France and China; also Portugal and Siam referred to in this Indictment, and a number of other nations then and now among the victorious Allies, but not represented here. It was ratified by twenty-four of the above-mentioned twenty-eight States, including Japan. It was not ratified by the United States of America owing to the change of view which developed there with regard to the Covenant of the League of Nations which formed Part I of the Treaty.

The trial of the Kaiser never took place owing to the fact that he had taken refuge in the Netherlands and there was no Treaty available for his extradition from that country on the charges named.

The passage from Stowell, which I have already cited, continues as follows:

"The victorious allies, acting for international society, had a right to try the Kaiser, if so minded, for his personal responsibility in the events of August, 1914, but they would have had no right to appoint a court of politicians and to refuse him the production of such documents from their own archives as he might require for his defense.

"In the present state of public opinion,"

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it was written in 1931, "it is probably as well that no attempt was made to carry out the provisions of the treaty in regard to the trial of the Kaiser, but it is necessary to preserve the principle of personal responsibility in order to protect society and to punish offenses which cannot be defined in advance."

In this case, the question which caused some controversy in the case of the Kaiser as to the propriety of trying the head of a State does not arise. The defendants whom we are bringing to trial are those who, as we expect to prove, exercised in Japan the effective power to commit the crimes against peace which we are charging. The principle was clearly laid down. The precedent was established and acknowledged by so many nations including Japan.

Even then, however, it was not, in principle, new. As the motion itself admits, the right of a belligerent to try and punish offenders against the laws and customs of war had long been universally recognized. In reality, it is based simply upon a breach of international law partly enshrined in treaties. The principle is exactly the same when applied to other breaches of international law and treaties such as those covered by Article 5(a) of the Charter and by the counts in Groups One and Two of this Indictment.

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The only reason why the principle had not before 1919 been applied to breaches of international law other than the laws and customs of war is that no clear case of such breaches had arisen, and there were at that time very few general treaties of the type, the breach of which we now allege.

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To take, as a particular example, the opening of hostilities without a declaration of war or ultimatum, this was dealt with by treaty for the first time in the Third Hague Convention of 1907. Stowell, at page 452, summarises the position as follows:

"Warning of Intention. International security and respect for good faith require that the supposedly friendly and mutually trustful relations of peace should not be interrupted without a warning sufficient to constitute due notice. This it would appear has ever been the rule among all peoples. The fundamental purpose of the rule is to prevent treachery and the fear of it which would render peace of so precarious a nature as to be almost worse than war. Among primitive peoples generally and among European nations until more recent times, recourse to war was always preceded by a formal notice or declaration. But in more recent wars there have been instances in which recourse to hostilities occurred without a formal and prior declaration.

"It was for lack of such express notice that accusations were hurled at Japan in 1904 of having treacherously begun her attack on the Russian fleet. The merits of that particular controversy have been discussed by jurists with acrimony and ability. Japan herself recognized the desirability of avoiding the likelihood of any similar controversy in the future, and she therefore concurred in the adoption of The Hague Convention (III) of October 18, 1907, relative to the opening of hostilities, which in the preamble stating its purpose declares that the signatory states: 'Considering that it is important, in order to ensure the maintenance of Pacific relations, that hostilities should not commence without previous warning;

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"'That it is equally important that the existence of a state of war should be notified without delay to neutral Powers;

"'Being desirous of concluding a Convention to this effect, have appointed the following as their plenipotentiaries: * * *!

"And in fulfilment of this statement of purpose the Convention contains the following article:

"'Article I. The contracting powers recognize that hostilities between themselves must not commence without previous and explicit warning, in the form either of a declaration of war, giving reasons, or of an ultimatum with conditional declaration of war.'"

The most important of the other treaties, breaches of which are also alleged in Groups One and Two of the Indictment, are treaties which were entered into in or after 1907; many of them were entered into after 1919.

The prosecution submits, therefore, that Article 227 of the Treaty of Versailles was merely giving effect to a principle already well established although in relation to a new subject matter and that the same principle which was applied then by Japan, amongst other powers, to the responsibility of the highest individuals for breaches of treaties then in force, is equally applicable to breaches of treaties which have come into force since that date.

The absurdity of the defendants' contention is well illustrated when one notices that it is extended to cover an objection to the trial of "Crimes Against Humanity" and of charges of "Murder" of combatants and non-combatants at the commencement of war and during its execution. The Hague Convention IV of 1907 deals not only with crimes committed against prisoners of war, but with crimes committed in the

course of hostilities and also with crimes committed against the civilian population in occupied territories. With regard to the charge of "Murder" in the initiation of hostilities, this is probably not the occasion on which to elaborate the argument which will be submitted to the Court on this question. The basis of it is that the crime of "Murder" lies in the intentional killing of a human being without legal justification. Amongst other legal justifications for such killing which might exist is lawful belligerency, that is to say, the right of a soldier to kill his enemy in the course of a lawful war, in a manner and under circumstances not forbidden by the laws of war. We shall contend when the evidence has been given that in the cases charged, no such justification existed; in some cases because hostilities were commenced without warning, and therefore the war and the belligerency was unlawful; in some cases because they were in breach of other treaties forbidding aggression, and therefore the war and the belligerency was unlawful; in other cases because they were contrary to the laws and customs of war which include unlawful conduct towards both combatants and noncombatants.

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There is no count in the Indictment charging the use of opium and other narcotics as a war crime in

itself; it is only alleged as one of the means by which unlawful wars were carried on.

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To obtain convictions on those counts, we shall have to deal with propositions both of lew and 5 fact, but to suggest that this Tribunal has no juris-6 diction to entertain charges of Murder, a jurisdiction plainly conferred upon it by the Charter, and existing in every civilized country in the world, is in our submission, the height of absurdity.

The fact that a particular international 11 convention dealing with the law of war does not specify that violations thereof are punishable, does not preclude punishment for violetions thereof which are war crimes.

The practice of punishing wer crimes had 16 been a part of customery law long before certain of the 17 laws were put into the form of treaties and conventions. 18 International conventions, from The Red Cross Conven-19! tion of 1864 to The Geneva Convention of 1929 have not 20 contained provisions for the punishment of war crimes 21 committed in violetica of their provisions. Nothing 22 could be clearer than that there was no intention to 23 depart from the pre-existing practice of punishing 24 violetions when they amounted to wer crimes.

The customary law prior to the first of

those conventions is set out in Lieber's General Order 100 of 24 April 1863, paragraph 44: "All wanton violence committed against persons in the invaded country, all destruction of property not commanded by the authorized officer, all robbery, all pillage or sacking * * * all rape, wounding, maiming, or killing of such inhabitants, are prohibited under the penalty of death, or such other severe punishment as may seem adequate for the gravity of the offense."

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The practice of punishment has continued down to the present time. Thousands of such cases have been tried by military tribunals since the start of the practice of stating the substantive law of war in international conventions. In addition to the thousands of military trials, the Leipzig trials are familiar examples of trial and punishment for war crimes committed in violation of the Hospital Ship Convention of 1907 and The Hague Regulations, neither of which expressly provided penal sanctions for violations of their terms.

In "In ex parte Quirin" (291 U.S.) Chief
Justice Stone accepts as established law that military
courts have power to inflict punishments on individuals
and that they have jurisdiction to give effect to offenses specified in The Hague Convention and similar

offenses so as to give full scope to the governing purposes.

It follows that the lack of any statement in the treaties, on which we are relying and which are set out in Appendix B, as to the legal consequences to an individual responsible for their breach, is therefore of no significance. In our submission the consequences of a breach of such treaties are exactly the same as is shown by the established rule in the case of those which deal with "Conventional War Crimes."

Those who break treaties, or the International Laws which they amplify, are all equally war criminals and punishable according to the gravity of their offense.

The Charter lays down that principle by which this Tribunal is bound and, in doing so, follows well known international law.

Some of it I am going to read now may be unnecessary in view of what the Tribunal decided this morning, but it is short, and perhaps the Tribunal

Point II in the motion falls into two parts.

will bear with me rather than put the interpreters out

22 by altering what I was going to say.

Point II in the motion falls into two parts.

The first is an assertion that the purpose of the

Potsdam Declaration and of the Instrument of Surrender

was to terminate the state of war then existing between Japan and the Allied Powers, and goes on to submit that crimes alleged to have been committed in Count 2 against China, and in Counts 25, 26, 35, 36, 51 and 52 against the Union of Soviet Socialist Republics, are not within the jurisdiction of this Court because they occurred at various dates in the past.

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There are two fallacies in this contention: The first is that the Instrument of Surrender terminated a war. It did not; it terminated hostilities. A state of war continues in the form of military occupation and will be terminated at some future date. The second fallacy is that the Instrument of Surrender dealt only with matters arising out of hostilities commencing at any particular date. As far as China is concerned, this is clear when one looks at paragraph 8 of the Potsdam Declaration which incorporates the Cairo Declaration. The latter makes it clear that territories, including those referred to in Count 2, which Japan had stolen from the Chinese, should be restored to the Republic of China regardless of the date of the theft or, at all events, going back to 1914. It also deals with the freedom of Korea. Whether the war of Japan against China should be regarded as continuous from the 18 September, 1931 onwards or as having a

fresh start on 7 July, 1937, is one which the Tribunal
may find it necessary to determine on the facts. The
Indictment provides distinct Counts (2 and 3, and 18
and 19, and 27 and 28), enabling the Tribunal to give
effect to either view which it may take on this question. In our submission, even if the Tribunal should
take the view (contrary to the submission that we shall
make), that those are to be regarded as separate wars,
there is nothing in the Charter, the Terms of Surrender
or the Potsdam Declaration to prevent the Tribunal from
exercising jurisdiction with regard to crimes committed by any of the defendants in connection with either
of them.

The same remarks apply with equal force to the crimes against the Union of Soviet Socialist Republics alleged in the counts above mentioned, so far as they are based upon the same contention with regard to time. It appears, however, that the objection to those counts is also based upon a further contention that the matters in question have been settled by certain alleged agreements, which are not before the Court and must, together with the attendant circumstances, be the subject of evidence. This is a matter which the defendants can bring forward when they present their case.

If the Tribunal thinks it better to postpone giving any decision on either of the contentions raised in Point II until they have heard the evidence, the prosecution would raise no objection to this course.

Point III begins by reiterating certain arguments with regard to the meaning and purpose of the Potsdam Declaration and the Instrument of Surrender, which have already been dealt with. It goes on to put forward the proposition that crimes cannot be charged as being committed against any country which was not at war with Japan on July 26, 1945, or was not one of the Allied Powers mentioned in those documents, and seeks to apply that contention to Counts 4, 16, 24 and 34 so far as they relate to Thailand, or Siam. argument, if well-founded, would be equally applicable to the inclusion of that country in Count 5 and to the inclusion of the Republic of Portugal in Counts 4 and 5 and in Counts 53, 54 and 55. In our submission, however, there is no substance in it at all. There is no limitation in paragraph 10 of the Potsdam Declaration as to the countries against whom war crimes may have been committed. There may be such limitation with regard to prisoners for the obvious reason that there could not be prisoners of war except those who

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If the Tribunal thinks it better to postpone giving any decision on either of the contentions raised in Point II until they have heard the evidence, the prosecution would raise no objection to this course.

Point III begins by reiterating certain arguments with regard to the meaning and purpose of the Potsdam Declaration and the Instrument of Surrender, which have already been dealt with. It goes on to put forward the proposition that crimes cannot be charged as being committed against any country which was not at war with Japan on July 26, 1945, or was not one of the Allied Powers mentioned in those documents, and seeks to apply that contention to Counts 4, 16, 24 and 34 so far as they relate to Thailand, or Siam. argument, if well-founded, would be equally applicable to the inclusion of that country in Count 5 and to the inclusion of the Republic of Portugal in Counts 4 and 5 and in Counts 53, 54 and 55. In our submission, however, there is no substance in it at all. There is no limitation in paragraph 10 of the Potsdam Declaration as to the countries against whom war crimes may have been committed. There may be such limitation with regard to prisoners for the obvious reason that there could not be prisoners of war except those who

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were nationals of countries at war. Again the mention of Korea in the Cairo Declaration helps to make this clear.

We ask, therefore, that the motion be dismissed. We have not put before the Tribunal, at this stage, our full arguments on the question of international law which it raises, but it is our earnest hope that when the Tribunal comes to deliver its judgment, after hearing full arguments, it will contain authoritative pronouncements on these matters.

May I resume my seat while the interpreters read it in Japanese?

THE PRESIDENT: I think we will hear the translation after the recess. We will now recess for ten minutes.

(Whereupon, at 1453, a recess was taken until 1505, after which the proceedings were resumed as follows, interpretation from English to Japanese and Japanese to English being made by OKA, Takashi of statements from the floor, and from English to Japanese by TSUCHIYA, Jun of the President's statements, Hidekazu Hayashi acting as Monitor:)

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MARSHALL OF THE COURT: The Tribunal is now resumed.

MR. COMYNS CARR: If it please the Tribunal, I understand that copies of what I have been reading are available for the Members of the Tribunal, if it is convenient, and have been handed to the Secretariat.

THE PRESIDENT: We are very pleased to have them.

MR. COMYNS CARR: The interpreters are now ready to read the Japanese version.

(Whereupon, the Japanese translation of Mr. Comyns Carr's speech was read by the official interpreter.)

MR. COMYNS CARR: I should like to add a few matters which had arisen in the course of the argument this morning and which I had not, therefore, dealt with in the remarks which I had prepared.

I would be obliged to the Tribunal.

The first point was an argument based upon certain extracts from the British "Manual of Military Law" issued to the British military forces. It is, as appears from a glance at it, a short manual issued for the guidance of the members of the forces and, therefore, deals only with matters with which they are cirectly concerned.

Paragraph 4 says: "The existing written 2 agreements which affect the military forces are * * *" 3 and then gives a list. But, it is well worth observing 4 that that list includes The Hague Convention of 1907 relative to the opening of hostilities, and also that Paragraph 383 makes it clear to the soldier that he is concerned and has a duty towards civilians as well as members of the military forces opposed to him. It reads: "It is the outy of the occupant to see that the lives of inhabitants are respected, that their 10 domestic peace and honor are not disturbed, that their 11 religious convictions are not interfered with and 12 generally that curess, unlawful criminal attacks 13 on their person and felonious actions as regards 14 their property, are just as punishable as in times 15 of peace." 16

And when one looks at the paragraph which was quoted this morning, No. 441, and its definition which is taken from the Second Volume of Oppenheim, it is about as comprehensive as it could be. The term "war crime" is the technical expression for such an act of enemy soldiers and enemy civilians as may be visited by punishment on capture of the offenders.

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As I understood the argument for the defense

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this morning, it was mainly based upon an allegation that there was either a misunderstanding on the part of the Japanese Government when they signed the terms of surrender or even went so far as to suggest that there was a breach of faith on the part of the Allied governments in now including crimes against peace in this Charter and the Indictment. On this point it is material to decide, first of all, what do the words "war criminal" in their true contents actually mean. That I have dealt with, but it is also material to consider what the representatives of the Allies explain them as meaning, because if the Japanese Government understood quite well what the Allies meant by them, it is useless for the defense now to complain of a breach of faith on the ground of the effect being given to that meaning; and it is from that point of view that I submit that the quotations from leaders of the Allied Nations which were cited by Mr. Keenan are material to be considered by the Tribunal.

If the Japanese Government of that time were in any doubt, as is suggested, about the meaning, it would have been easy for them to have cleared it up by a question. They did raise a question as to the future position of the Emperor and they got a very prompt answer.

1 Finally, I would submit this matter to the con-2 sideration of the Tribunal. In order to rely upon a 3 misunderstanding or alleged breach of faith, it is nec-4 essary for the defendants, amongst other things, to show 5 that they signed the surrencer in the belief that war 6 criminals did not include these twenty-eight individuals, 7 and that they would not have signed those terms if they 8 had thought these twenty-eight individuals would have been put on trial. That means that, rather than they should be put upon their trials, they would have con-10 tinued to subject the population of Japan to the fate 11 which Article 13 of the Potsdam Declaration describes 12 as "prompt and utter destruction." 13 If and when any of these defendants, including 14 three of them who were personally parties to the Terms 15 of Surrender, come to give their evidence, we shall be interested to observe whether they will tell the Tribunal that that would have been their course. 18 DR. KIYOSE: Mr. President. 19 THE PRESIDENT (Addressing Dr. KIYOSE): How 20 long will your reply take? 21 DR. KIYOSE: It will finish in about thirty 22 minutes. 23 THE PRESIDENT: Yes. 24 DR. KIYOSE: Mr. President, I believe that the 25

exchange of emotional words will not help the calm proceedings of this Tribunal. Therefore, I will choose only the points relative to my argument and talk briefly on this subject.

First, I would like to point out a few points which I believe the Chief Counsel for the Prosecution, Mr. Keenan, in his morning address and his afternoon's address, and Mr. Comyns Carr, Associate Prosecutor for Britain, entertained regarding my motion.

THE MONITOR: Correction.

(Whereupon, the official interpreter repeated his interpretation as follows:)

First, I should like to speak on a few points on which I believe I was misunderstood by Mr. Keenan and by Mr. Comyns Carr in their addresses. First, I understand thusly -- first, the special order, special proclamation, which was issued on the occasion of the promulgation of the Charter, which is the basis of this International Military Tribunal. In the second article of this special order, it is stated that this order was promulgated in accordance with the Terms of Surrender. Therefore, the writer of this proclamation -- in other words, the Supreme Commander for the Allied Powers -- also believed that the words "stern justice shall be meted out," et cetera, was one of the terms of the sur-

render.

A surrender with terms is not an unconditional surrender.

In the fifth article of the Potsdam Declaration itself we find the words "Following are our terms."

Then why did Counsel Keenan and Comyns Carr use the terms "unconditional surrender"? The term "unconditional surrender" refers to the armed forces alone.

In the thirteenth article of the Potsdam Declaration, we find the following words: "We call upon the Government of Japan to proclaim the unconditional surrender of all the Japanese armed forces."

THE PRESIDENT: I suggest to you that "unconditional surrender" means free from any terms imposed by the Japanese.

Go ahead.

DR. KIYOSE: Mr. President, will you wait for me to finish my opinion of the term "unconditional surrender" before giving your own? Will you allow me to give my own opinion in a calm manner?

MR. PRESIDENT: You should know what is troubling this Court in your arguments, but you may proceed without any interruption as far as I am concerned.

DR. KIYOSE: By "surrender" we mean the abandonment of arms by forces at the front, and their surrender

to the enemy. Therefore, even at the front, when one side has given up arms and surrendered, certain terms are permissible. For instance, in this treaty a promise is made that those who have given up their arms shall be permitted to return to their homes with the opportunity to lead peaceful and productive lives. If there is a country among the Allies which does not fulfill this article, that country is violating the Potsdam Declaration. As defense counsel, I sincerely pray that, for the sake of world peach, such a country does not exist in the world today.

The Instrument of Surrender, signed on September 2, 1945, was in accordance with the Potsdam Declaration and only made provision for the surrender of the armed forces. No provisions are made for the unconditional surrender of either the Japanese government or the Japanese people. In this Instrument of Surrender, the unconditional surrender of Imperial General Headquarters of the Japanese armed forces, and of forces under Japanese control is recognized, but there is no provision made whatsoever for the unconditional surrender of the Japanese government or the Japanese people.

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In the fifth paragraph of the Instrument of Surrender, Japan recognized that she would obey the orders and directives of the Supreme Commander for the Allied Powers. But this obedience was only to the orders and directives of the Supreme Commander for the Allied Powers which are in accordance with the terms of the Potsdam Declaration and does not mean that we should obey every single thing that the Supreme Commander should command.

In the sixth paragraph, the Emperor and the Japanese Government have undertaken to obey the orders of the Supreme Commander for the Allied Powers; but as is written in this paragraph, it is only for the purpose of giving effect to that Declaration, and for any other purpose there is not a single line in the Instrument of Surrender which requires Japan to obey the Allied Powers.

If the "Crimes Against Peace" and "Against
Humanity" enumerated in Sections A and C of Article 5 of
the General Order 1 or General Order 20 to 25 are not
crimes coming under the scope of the Potsdam Declaration,
then General MacArthur is exercising an authority he does
not possess, and the Japanese people are not bound to obey
this order.

Next, Mr. Keenan made reference to the statement issued at Moscow by President Roosevelt, Prime Minister

1 Churchill and Marshal Stalin, but this declaration was 2 issued against German atrocities and has nothing what-3 seever to do with Japan. This is a point that I have 4 already pointed out, but I repeat it again here.

The speeches made by Marshal Stalin in 1942 and in 1943 are also the same. In President Roosevelt's speech he has declared that war crimes shall be punished, but he has not said that the planning or the preparing of a war, whether aggressive or otherwise, is a war crime.

In the Cairo Declaration there is an article 11 calling for the unconditional surrender of Japan. But this was a line of policy pursued during the war and, in order to end the war before the Allied Forces invaded Japan, the Potsdam Declaration was issued as a compromise measure.

Both Counsel Keenan and Counsel Comyns Carr have referred to the Versailles Treaty of 1919. This is the The Versailles Treaty provided that the Kaiser mistake. should be tried for his offense and was accepted by Germany.

The MONITOR: Signed and accepted by Germany -correction.

DR. KIYOSE: If, in the Potsdam Declaration, there

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was an article stating that those who planned and prepared the present war should be tried, and Japan had accepted such a declaration, then you would have the right to try these criminals. There is no provision in the Potsdam Declaration corresponding to Article 227 of the Versailles Treaty.

Here I would like to state that the Versailles
Treaty did not call the Kaiser's offense a crime, but an
offense. I would like to speak a little on why this
distinction was made. This is because, at the War Crimes
Punishment Committee, the American representative - the
famous Lansing, and Scott, the international lawyer,
declared that they could not agree to the definition
of the violation of an international treaty or of international law as a crime. And, therefore, the term
"offense" was adopted. The Japanese also at the time
accepted this opinion.

was not brought to trial because of the refusal of Holland; but, even if he had been brought to trial, he would not have been brought to trial as a criminal. Therefore, I believe that to have referred to Article 227 of the Versailles Treaty is more of benefit to the defense counsel than it is to the prosecution.

Next, in the League of Nations, being a

league of that nature, war was condemned. But even the League of Nations never made a provision defining an individual -- of a country waging aggressive war; an individual, and not the country itself -- as a criminal.

In The Hague Convention of 1907, also, the violation of treaties was not called a crime, nor were individuals of countries violating treaties called criminals.

In the Kellogg-Briand Anti-War Pact also, the waging of war in violation of treaties was not called a crime.

THE MONITOR: Correction: Waging of war as well as violating treaties was not called a crime.

DR. KIYOSE: The word "crime" itself has a definite meaning. It is a principle universally recognized throughout the world that crime is an action punishable by punishment. But, in the Anti-War Pact, there is no provision for the punishment of countries who have violated that pact; and, furthermore, in the preamble it is stated that countries violating this pact lose their rights under this pact.

Mr. Comyns Carr this afternoon quoted from Stowell's "Treatise on International Law." With all due respect for Mr. Comyns Carr's learned speech it is my contention that one writer's writings on international

law do not constitute international law. It is true that academic theories may become international law; but, for this purpose, it is necessary that it be stated by many scholars, that it be put into practice by the various countries, that it be accepted by the International Law Association, and that, in short, it be recognized by all the people in the world as an internationally recognized custom.

Stowell's contention that people can be tried for crimes previous to the establishment of a law is utterly contrary to the principle revered by all of us, that is, of expost facto law.

THE MONITOR: Correction: "Revered by you gentlemen," not "all of us."

DR. KIYOSE: In no country in the world today is the principle of trying a person, of conducting trials against the principle of ex post facto law, accepted. Even Mr. Comyns Carr is also aware of this fact, I believe.

There seems to be a misunderstanding regarding our request for the deletion of the counts pertaining to Murder. Murder, the category of murder which comes under conventional war crimes, can be included in this indictment. But I cannot but be surprised when "murder" is defined as the killing of belligerents simply when

there has been no declaration of war, or that the killing of several hundreds of thousands in a war begun in violation of a treaty itself is murder.

Mr. Comyns Carr has taken up my argument of this morning saying that the Potsdam Declaration terminated the Pacific War, and he has argued on this point. It may have been more correct if I had made a distinction between the conclusion of war and the conclusion of hostilities. As in our country the same term is used, the same word is used for both terms. I made this mistake and I shall correct it if it be permitted; but, even if I do correct it, the meaning remains the same. That is, since the Potsdam Declaration concluded the hostilities in the Pacific, it does not cover wars other than the Pacific War.

Both Mr. Keenan and Mr. Comyns Carr have said that this trial must be conducted in order to protect civilization. On this point, I, too, am in complete agreement. But, by "civilization," do you not include the terms "respect for treaties" and "impartiality of trials"? If the true intent of the Potsdam Declaration is, as I have said, I believe that it would be for the good of civilization if this Indictment is rejected without any consideration to the various arguments which have been advanced hitherto.

Both Mr. Keenan and Mr. Comyns Carr referred to speeches by the late President Roosevelt, but I wish to be allowed to quote just one passage from the present President Truman's message to Congress on January 1 of this year.

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THE MONITOR: Correction! Message to Congress pertaining to the budget.

MR. KEENAN: I object to this on the ground of its obvious incompetency -- any remarks made at the time mentioned by anyone.

THE PRESIDENT? You quoted, Mr. Chief Prosecutor, speeches of Marshal Stalin, the late President Roosevelt, individual speeches. We do not take judicial notice of those, nor have they been proved, but you were allowed to proceed to quote them.

MR. KEENAN: If the Court please, I was allowed to proceed to quote them when I said they would have relevancy to the matter that was raised in the motion, and that had to do with whether or not these accused had due notice of the fact that they would be prosecuted prior to the time of the surrender.

THE PRESIDENT: Now, that does not answer my point.

MR. KEENAN: I would suggest, at this time we have heard discourse very close to sedition in this

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 courtroom from this counsel. As a member of the prosecution, I have no desire to participate in any trial that does not have the fairest aspect, but I do think we should be required to confine our comments to relevant matters, and I make the objection upon that obvious ground.

THE PRESIDENT: He is not pressing his claim to quote President Truman.

MAJOR WARREN: May I be heard, sir, on this point?

The question came up, and the President of this Tribunal rightfully stated that the quotations from the speech of the late President Roosevelt and others were not material. We did not intervene when the members of the Tribunal wished to hear those quotations. We insist at this time that the Prosecutor has set himself up to answer for the American citizens on this question. We do not believe he has that right because the defense, who are American citizens -- some of us -- will answer. We submit, sir, that the views of the present President of the United States are material as reflecting the attitude of the American people.

I do not know what he desires to quote and do not know its import, but I ask the Tribunal:

that he be heard and that we be accorded the same privileges that the prosecution has demanded for itself.

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MR. KEENAN: If the Court please, my objection was not to the man who made the remarks which are to be quoted because it is because of him that I am here, but it is to the date that was mentioned which clearly placed them without the grounds of relevancy of anything being discussed in this motion. I would like to have the date verified again. I understood it to be January of this year.

THE RESIDENT: What President Truman said after the surrender really has no bearing on any issue here or any point here.

The debate is closed. As far as we can judge, nobody has anything to add.

(Whereupon, Dr. KIYOSE began to speak in Japanese.)

THE PRESIDENT: You are speaking out of order. No new matter has been introduced in the reply, so you are not entitled to reply to Mr. Keenan. We will consider the matter. We will reserve our decision which will be given at a later date.

The motion in the paper in the name of some of the defendants will be taken tomorrow at thirty

minutes past nine o'clock. We will adjourn now until thirty minutes past nine o'clock tomorrow morning. (Whereupon, at 1650, an adjournment was taken until Tuesday, 14 May 1946, at 0930.)